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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: MCELWAIN, Thomas P.

Group Art Unit: 2157

Serial No.

10/531,772

Examiner:

Filing or 371 (c) Date: 04/19/2005

Atty. Dkt. No: 20030076-US

2157-15

For:

JOINT SYMBOL, AMPLITUDE, AND RATE ESTIMATOR

To:

Mail Stop Amendment

Fm:

42716

Commissioner for Patents PO Box 1450

Alexandria, VA 22313-1450

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Date:

4121100

[x] Dawn M. Case

Commissioner:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Transmitted herewith is the Applicant's Information Disclosure Statement, 2 sheets form PTO/SB/08A and/or PTO/SB/08B, and 1 references and other information. Pursuant to 37 CFR 1.98 (a)(2)(i) applicant has not transmitted herewith copies of cited U.S. Patents and U.S. patent application publications as the above application was filed after June 30, 2003. Please enter in the above application and communicate in all related matters with the undersigned.

DEPOSIT ACCOUNT 190130 AUTHORIZATION – All necessary fees are intended to be included, however the Office is hereby authorized to charge any deficiency or credit any overpayment in the fees to the above deposit account, owned by BAE SYSTEMS Information and Electronic Systems Integration Inc. an authorized signator for which is Kevin M. Perkins, V.P. & Company Counsel, and for whom the undersigned are authorized agents.

Respectfully submitted,

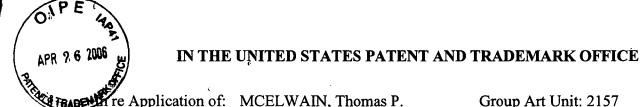
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Date:

[x] Dawn M. Case

Commissioner:

INFORMATION DISCLOSURE STATEMENT

Applicants submit this statement and the attached 2 sheet(s) of form PTO/SB/08A and/or PTO/SB/08B and _1 references and other information in accordance to the duty of disclosure under 37 C.F.R. §§1.56,1.97, and 1.98, and requests consideration hereof. Pursuant to 37 CFR 1.98 (a)(2)(i) applicant has not transmitted herewith copies of cited U.S. Patents and U.S. patent application publications as the above application was filed after June 30, 2003.

Compliance with 37 C.F.R. §1.97: This Information Disclosure Statement is filed within three (3) months of the filing date of a National Application or before the mailing date of a first office action on the merits. No fee or certification is required.

<u>Information Cited:</u> The Applicants hereby make of record in the above-identified application, the information listed on the attached form PTO/SB/08A and/or PTO/SB/08B. The order of presentation of the references should not be construed as an indication of the importance of the reference. As all the references listed on attached Form PTO/SB/08A and/or PTO/SB/08B are in English, no commentary is required.

Remarks: A copy of each reference, together with a listing on Form PTO/SB/08A and/or PTO/SB/08B, is submitted herewith. Applicants respectfully request that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;

- 2. The enclosed form PTO/SB/08A and/or PTO/SB/08B be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application; and
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicants make no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined in 37 C.F.R. §102.

Notwithstanding any statement by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

Cus. No. 42716 Maine & Asmus

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Nashua, NH 03061-3445

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Attorneys/Agents for Applicant

PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known		
Application Number	10/531,772	
Filing Date	04/19/2005	
First Named Inventor	McElwain, Thomas P.	
Art Unit	2157	
Examiner Name		
Attorney Docket Number	20030076-US	

			U. S. PATENT	DOCUMENTS		
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear	
		^{US-} 2003/0179698 A1	09-25-2003	Lu		
		^{US-} 2003/0189979 A1	10-09-2003	Li et al.		
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FOREIGN PATENT DOCUMENTS							
Examiner Cite Initials* No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Π		
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Examiner	(Tomosphon (Chahratingga/	-	Date	08/26/2008	
Signature	/Temesghen (Ghebretinsae/	:	Considered	00/20/2000	

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO		Complete if Known		
	•		Application Number	10/531,772
INFORMATIO	N DIS	CLOSURE	Filing Date	04/19/2005
STATEMENT BY APPLICANT			First Named Inventor	McElwain, Thomas P.
(lice as many sh	ante se r	accessar)	Art Unit	2157
(Use as many sheets as necessary)		Examiner Name		
Sheet 2	of	2	Attorney Docket Number	20030076-US

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		PCT International Search Report dated April 5, 2006 of International Application No. PCT/US03/38190 filed November 25, 2003.	

Examiner		Date	09/06/0009
Signature	/Temesghen Ghebretinsae/	Considered	08/26/2008

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¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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